

Algorithms - Spring '25

NP-Hardness



Recap

- Have a good Easter break!
- Next HW: posted, due last days of classes

↳ join a HW⁸ group, then
[Sign up in calendar]

- Readings: Resume next week
↳ different book

- Final: Thurs. May 8, 10³⁰ am

"The Pattern"

In the same way a "word problem" can ask you to model a graph & then choose correct alg

→ NP-reductions require some imagination & practice!

- Find known NP-Hard problem
- Convert an instance of your problem into
- Prove "Yes" in NP-Hard Problem \Leftrightarrow "Yes" in ours

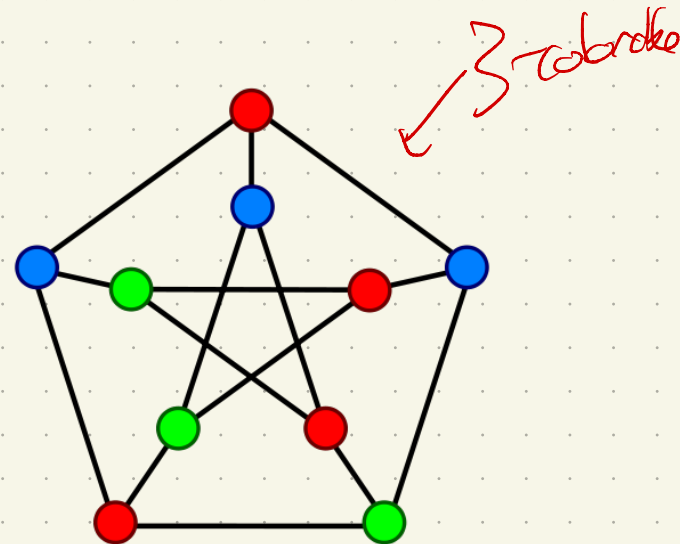
Next: Graph Coloring

A k-coloring of a graph G is a map:
 $c: V \rightarrow \{1, \dots, k\}$

that assigns one of k "colors" to each vertex so that every edge has 2 different colors at its endpoints

Goal: Use few colors

$k = V$ easy!



Thm: 3-colorability is NP-Complete.

(Decision version: Given G ~~if 3~~,
output yes/no)
if 3-colorable

In NP:

certificate:

color for each vertex

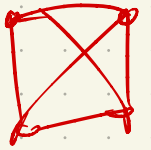
To check:

loop over every edge
& verify endpoints have
different colors

NP-Hard:

Reduction from 3SAT. ↗

4 colors



Given formula for 3SAT Φ ,
we'll make a graph G_Φ .

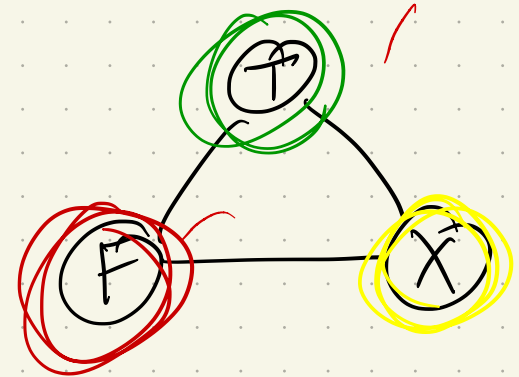
Φ will be satisfiable
 $\iff G_\Phi$ can be 3-colored.

Key notion: Build "gadgets"!

① Truth gadget -

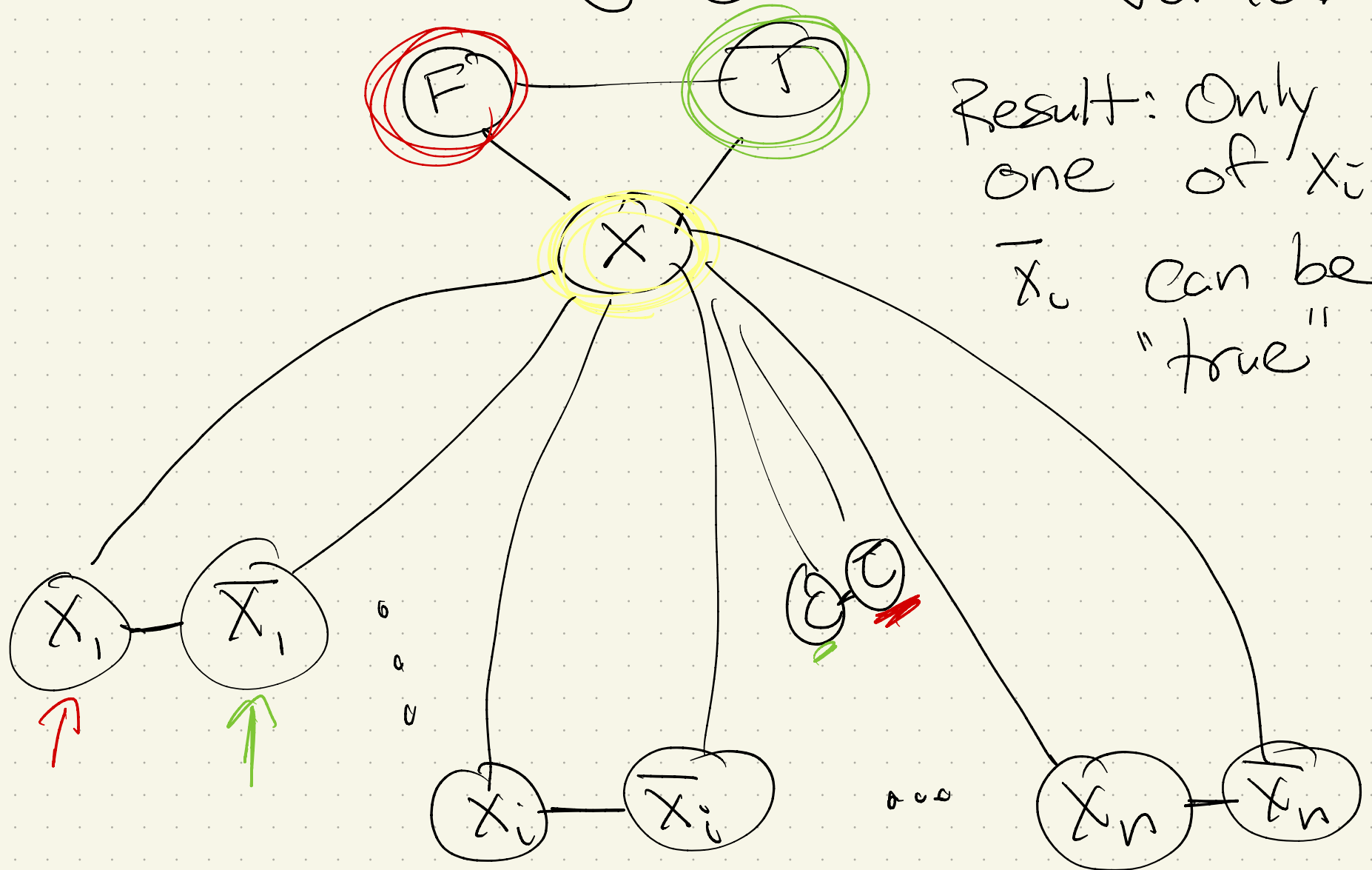
Must use 3 colors -

so establishes a "true" color.



②

Variable gadget: one per variable

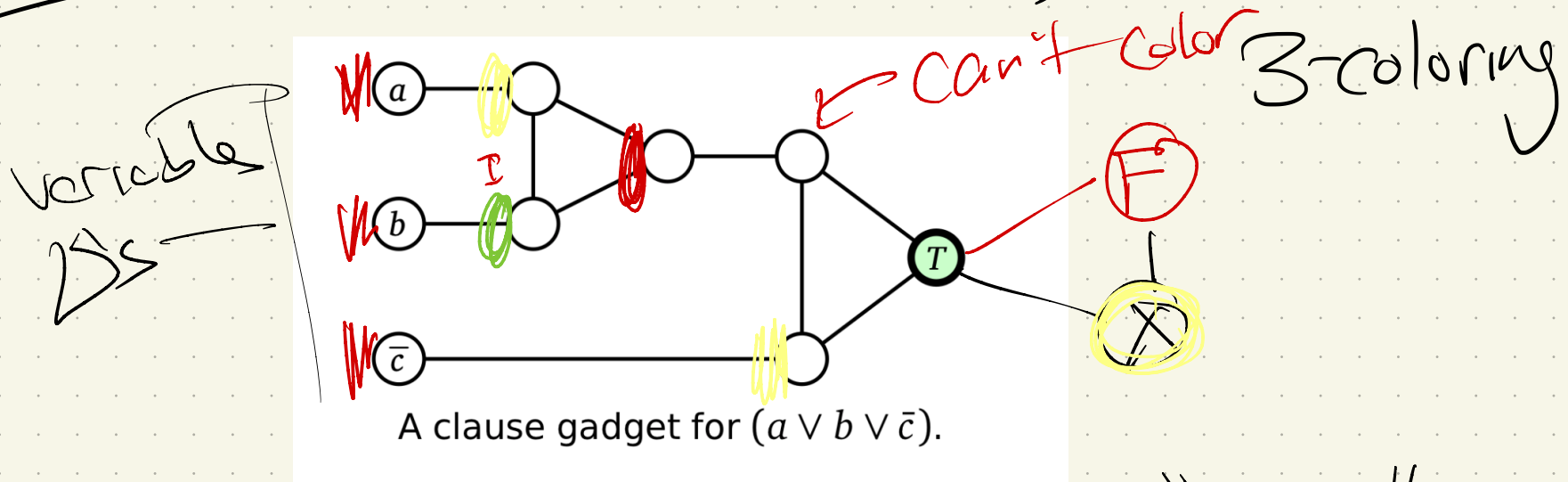


Result: Only one of x_i & \bar{x}_i can be "true"

n of these Δ 's

③ Clause gadget : $(x_i \vee \overline{x_j} \vee x_k)$
 For each clause, join 3 of the variable vertices to the "true" vertex from the truth gadget.

Goal: If all 3 are false, no valid

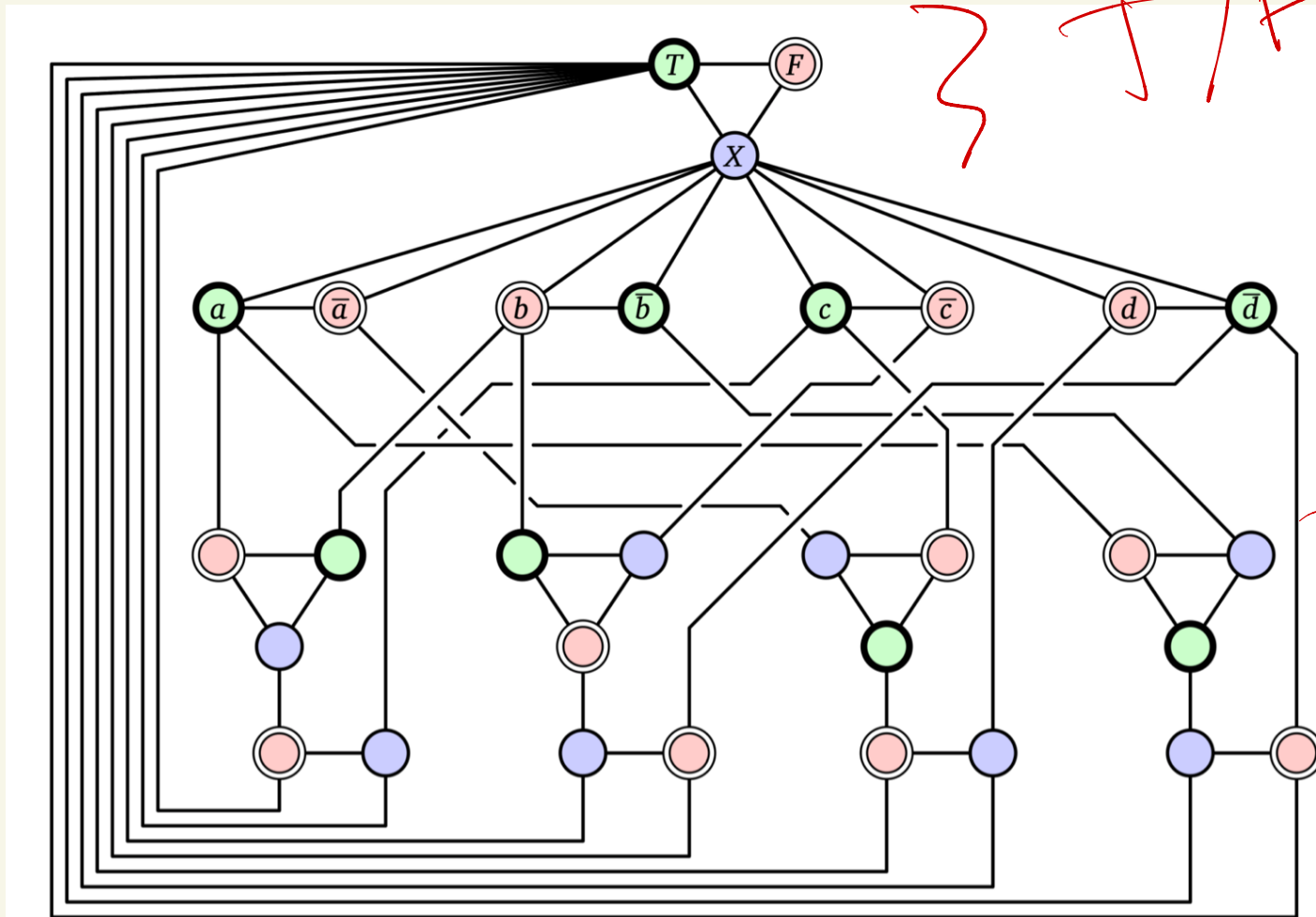


Why?? try to color all "false"

→ Can't 3-color

If any vertex is green, we can 3-color

Final reduction image:



A 3-colorable graph derived from the satisfiable 3CNF formula
 $(a \vee b \vee c) \wedge (b \vee \bar{c} \vee \bar{d}) \wedge (\bar{a} \vee c \vee d) \wedge (a \vee \bar{b} \vee \bar{d})$

$\{ T/F \}$ gadget

\leftarrow variables

} clause gadgets

$$V = 3 + 2n + 5m$$

Now, need reduction proof:

\hookrightarrow poly. $E = 3 + 3n + 9m$

3 coloring of G_Φ
 $\iff \Phi$ is satisfiable

PF:

\Rightarrow : Consider a 3-coloring of G :

know each clause gadget
must connect to a green
variable.

Set these variables True, &
other false.

Must have 1 true var. in each
Clause, so Φ is Sat.

← Consider a satisfying assignment
to Φ :

↳ each x_i is T/F

↳ color according in G_Φ

Since each clause has \geq one

green vertex, we can
3 color each clause gadget

↳ > 7 possibilities, I can draw
each out.

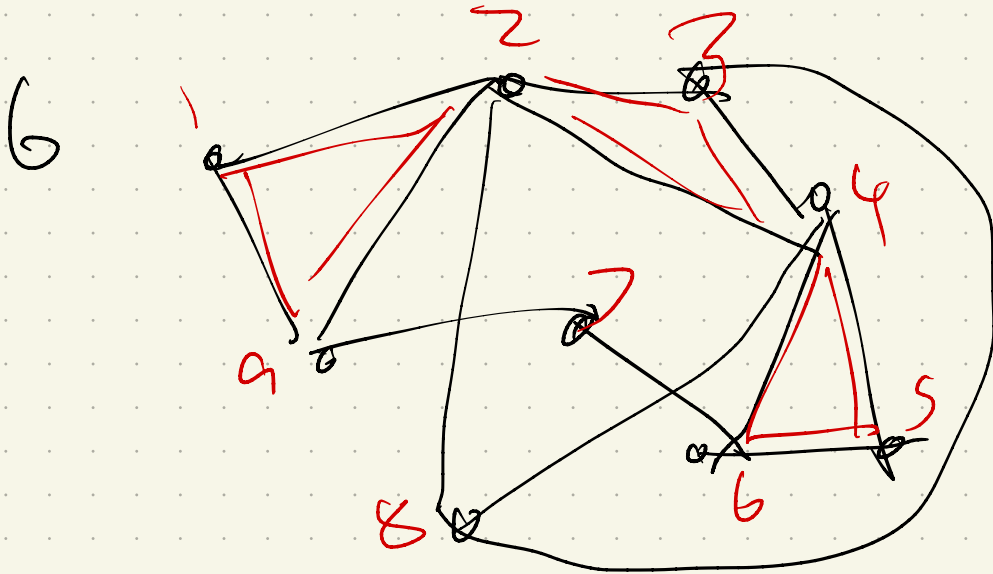
Clique (from 1nd set) $(x_i \vee x_j \vee x_k)$

Some reductions can feel a bit

"easier":

Input: $G = (V, E)$ and $k \in \mathbb{Z}^+$

Is there a complete subgraph
in G of size k ?



$k=3?$

yes
(lots)

$k=4?$

Yes

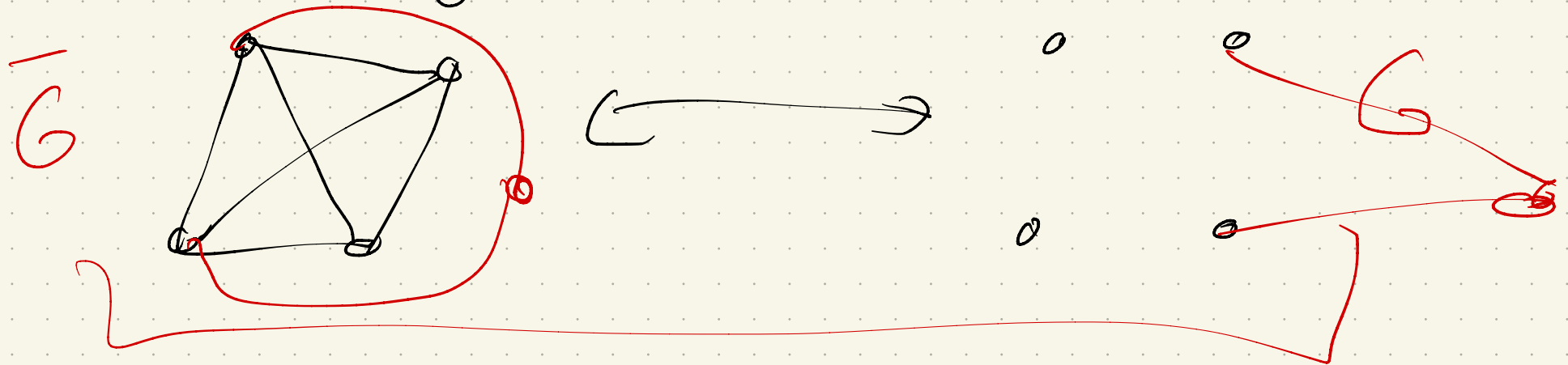
$k=5?$

None
No

Feels a bit like opposite of ind set!

all edges

no edges



Reduction: From ind. set.

Input: $G = (V, E)$: does G have ind set of size k ?

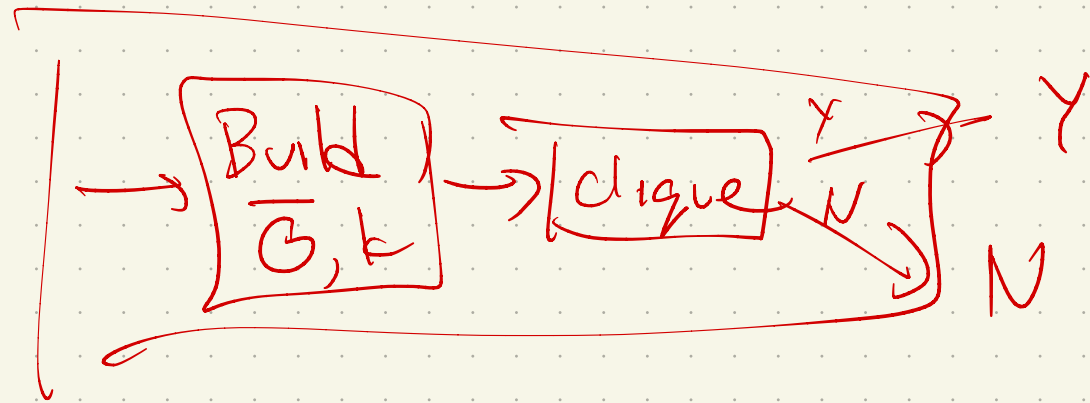
Build a new graph $\bar{G} = (\bar{V}, \bar{E})$

$$\bar{V} = V$$

$$\bar{E} = \{ \{u, v\} \mid \{u, v\} \notin E \}$$

← uses G

Ind
Set:
 G, k



Claim: G has ind. set of size k

$\iff \bar{G}$ has clique of size k

proof:

\Rightarrow Consider k vertices $\{v_1, \dots, v_k\} \subseteq V$,
s.t. \forall pairs $v_i, v_j, \{v_i, v_j\} \notin E$

By defn of \bar{G} , that means

$\{v_i, v_j\} \in \bar{E}$
 $\hookrightarrow \{v_1, \dots, v_k\}$ are clique in \bar{G}

Ex: Consider k vertices in \overline{G} , $\{u_1, \dots, u_k\}$,
s.t. $\forall u_i + u_j, \{u_i u_j\} \in \overline{E}$
(a clique)

Then by defn of \overline{G} , we know
 $\{u_i u_j\} \notin E$

$\hookrightarrow \{u_1, \dots, u_k\}$ is ind. set in G . \square

Subset Sum:

Given a set of numbers $X = \{x_1, \dots, x_n\}$ and a target t , does some subset of X sum to t ?

Ex: Actually did this one!

See lecture from Ch. 2

Runtime:

$O(n \cdot T)$

Why is this not polynomial?

Input: X \leftarrow T
Size n \leftarrow $\log T$ bits to write

Subset Sum is NP-Hard.

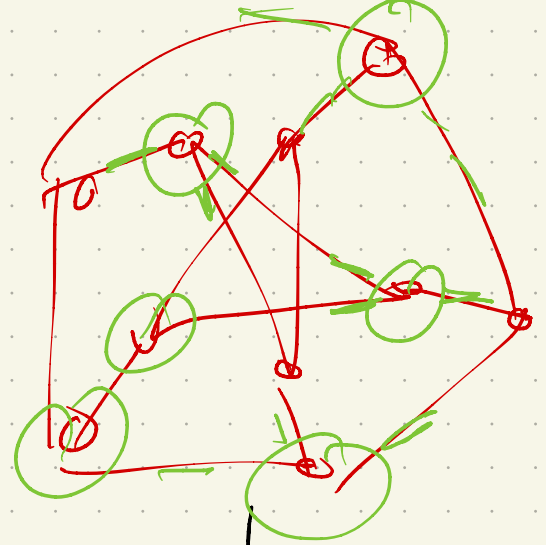
Reduction: Vertex Cover

Input: Graph G & size k

Goal: find k vertices, such
that every edge in G is incident
to at least one vertex in set

Challenge: Construct a set of numbers,
s.t. we can hit a target value

$\Leftrightarrow G$ has ~~indep set~~ of size k
Vertex Cover



Recall: base 4

$$(32012)_4 = 3 \cdot 4^4 + 2 \cdot 4^3 + 0 \cdot 4^2 + 1 \cdot 4^1 + 2 \cdot 4^0$$

... 16's 4's

$$\begin{array}{r} 103(2) \\ + 231(2) \\ \hline 10010 \end{array}$$

Idea: Use base 4:

force a target T that requires you to use only vertices, but to "cover" edges

Number edges $0 \dots E-1$ & create a number for subset sum w/ E "digits"

$$e_0: b_0 = \underline{0} \underline{0} \underline{\quad} \underline{\quad} \underline{\quad} \underline{\quad} \underline{0} \underline{1}$$

$$e_1: b_1 = \underline{0} \underline{0} \underline{\quad} \underline{\quad} \underline{\quad} \underline{\quad} \underline{0} \underline{1} \underline{0}$$

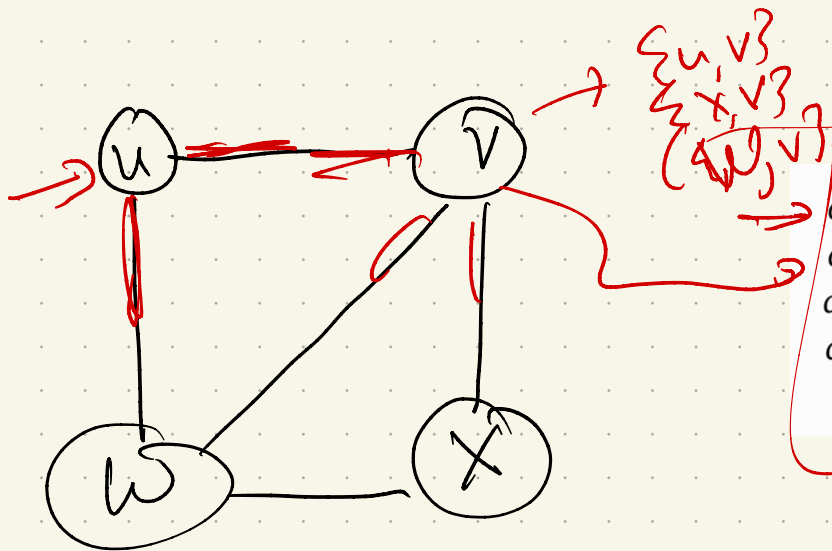
$$\vdots$$
$$e_{E-1}: b_{E-1} = \underline{0} \underline{1} \underline{0} \dots \underline{0} \underline{1}, E \text{ spots} \rightarrow E+1$$

For each vertex, make another #:

$$a_v := \underline{1}$$

$d(v)$ is, for its endpoints
E+1 digits (all else 0)

Think of base 4 representation



$$\begin{aligned} a_u &:= \underline{111000}_4 = 1344 \\ a_v &:= \underline{110110}_4 = 1300 \\ a_w &:= \underline{101101}_4 = 1105 \\ a_x &:= \underline{100011}_4 = 1029 \end{aligned}$$

$$\begin{aligned} b_{uv} &:= \underline{010000}_4 = 256 \\ b_{uw} &:= \underline{001000}_4 = 64 \\ b_{vw} &:= \underline{000100}_4 = 16 \\ b_{vx} &:= \underline{000010}_4 = 4 \\ b_{wx} &:= \underline{000001}_4 = 1 \end{aligned}$$

→ set of #s,

k of these 4
any value > 0

Now, set $T = \underbrace{k \cdot 4^E}_{\text{input}} + \underbrace{\sum_{i=0}^{E-1} 2 \cdot 4^i}_{2 \text{ each}}$

Why?

Arches k vertices

Proof: size k VC \Rightarrow sum to T

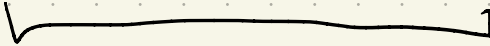
\Rightarrow VC: $\exists k$ vertices v_1, v_2, \dots, v_k
s.t. $\forall e \in E$, e is incident to some
 $v_i \in \{v_1, \dots, v_k\}$

\Rightarrow (cont)

Pick a subset:

Q: suppose some subset of #s
sums to T . options?

Recall: $T = k \cdot 4^E + \sum_{i=0}^{E-1} 2^i 4^i$

$\downarrow a_r =$ 

$\downarrow b_e =$ 

Plus:

Each digit position has only 3
1's across all #s;

So!

Partition:

Given $X = \{x_1, \dots, x_n\}$, can
we partition X into A & B
(so $A \cup B = X$, $A \cap B = \emptyset$, & $A, B \neq \emptyset$)

s.t.

$$\sum_{x_i \in A} x_i = \sum_{x_j \in B} x_j \quad ?$$

Reduction?

Proof:

Some fun examples

arXiv.org > cs > arXiv:1203.1895

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Computer Science > Computational Complexity

Classic Nintendo Games are (Computationally) Hard

Greg Aloupis, Erik D. Demaine, Alan Guo, Giovanni Viglietta

(Submitted on 8 Mar 2012 (v1), last revised 8 Feb 2015 (this version, v3))

We prove NP-hardness results for five of Nintendo's largest video game franchises: Mario, Donkey Kong, Legend of Zelda, Metroid, and Pokemon. Our results apply to generalized versions of Super Mario Bros. 1-3, The Lost Levels, and Super Mario World; Donkey Kong Country 1-3; all Legend of Zelda games; all Metroid games; and all Pokemon role-playing games. In addition, we prove PSPACE-completeness of the Donkey Kong Country games and several Legend of Zelda games.

Comments: 36 pages, 36 figures. Fixed some typos. Added NP-hardness results (with proofs and figures) for American SMB2 and Zelda 2

Subjects: **Computational Complexity (cs.CC)**; Computer Science and Game Theory (cs.GT)

Cite as: [arXiv:1203.1895](https://arxiv.org/abs/1203.1895) [cs.CC]
(or [arXiv:1203.1895v3](https://arxiv.org/abs/1203.1895v3) [cs.CC] for this version)

Submission history

From: Alan Guo [[view email](#)]

[v1] Thu, 8 Mar 2012 19:37:20 GMT (627kb,D)

[v2] Thu, 6 Feb 2014 18:24:15 GMT (3330kb,D)

[v3] Sun, 8 Feb 2015 19:45:26 GMT (3425kb,D)

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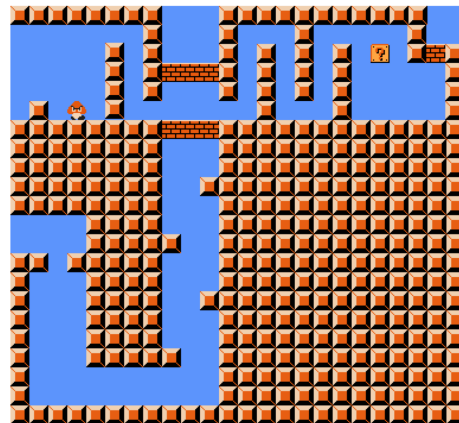


Figure 12: Crossover gadget for Super Mario Bros.

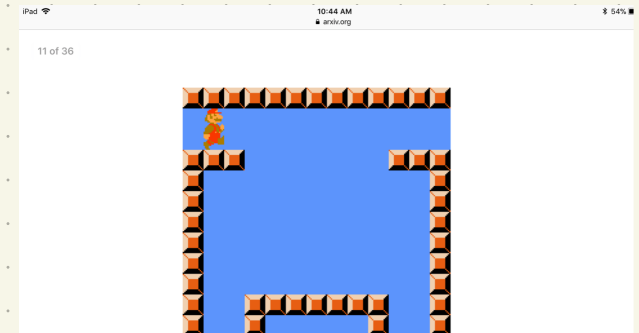


Figure 10: Variable gadget for Super Mario Bros.

shes until it is collected by Mario.

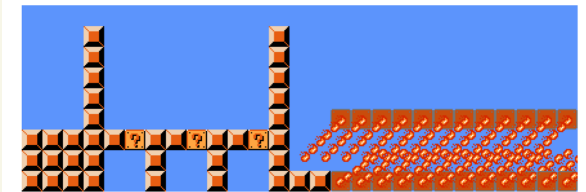


Figure 11: Clause gadget for Super Mario Bros.

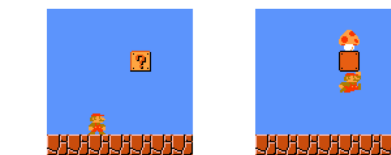
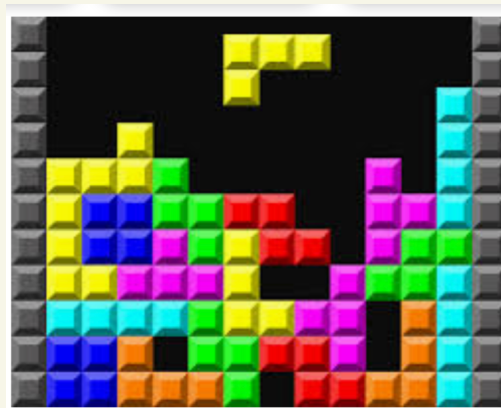


Figure 9: Finish gadget for Super Mario Bros.

Left: Start gadget for Super Mario Bros. Right: The item block contains a

Another: Tetris



NP-Hard: Reduce 3-partition

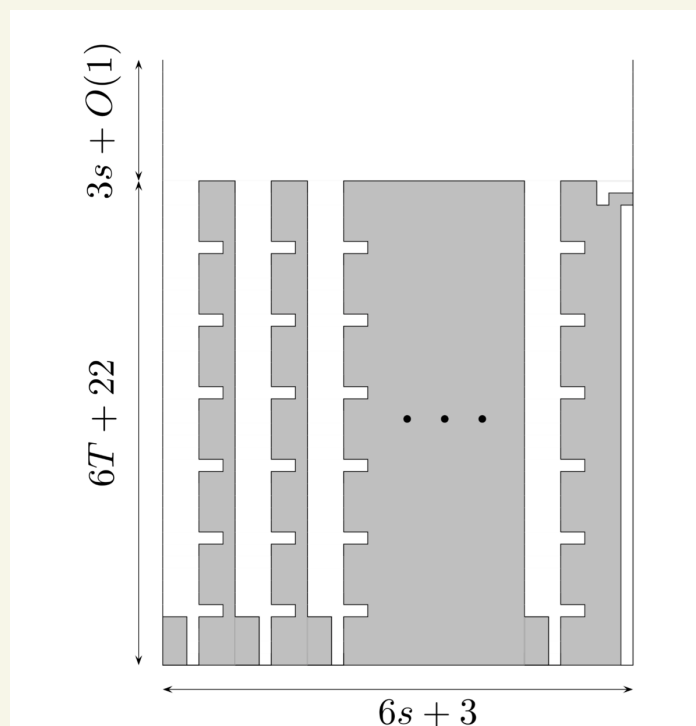


Fig. 2. The initial gameboard for a Tetris game mapped from an instance of 3-PARTITION.

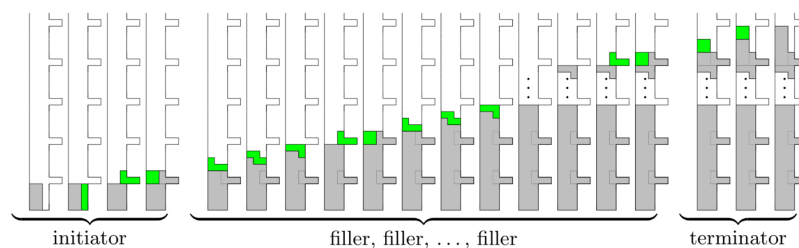


Fig. 3. A valid sequence of moves within a bucket.

Again: An active area of research!

arXiv.org > cs > arXiv:1711.00788

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Computer Science > Computational Geometry

On the complexity of optimal homotopies

Erin Wolf Chambers, Arnaud de Mesmay, Tim Ophelders

(Submitted on 2 Nov 2017)

In this article, we provide new structural results and algorithms for the Homotopy Height problem. In broad terms, this problem quantifies how much a curve on a surface needs to be stretched to sweep continuously between two positions. More precisely, given two homotopic curves γ_1 and γ_2 on a combinatorial (say, triangulated) surface, we investigate the problem of computing a homotopy between γ_1 and γ_2 where the length of the longest intermediate curve is minimized. Such optimal homotopies are relevant for a wide range of purposes, from very theoretical questions in quantitative homotopy theory to more practical applications such as similarity measures on meshes and graph searching problems.

We prove that Homotopy Height is in the complexity class NP, and the corresponding exponential algorithm is the best one known for this problem. This result builds on a structural theorem on monotonicity of optimal homotopies, which is proved in a companion paper. Then we show that this problem encompasses the Homotopic Fréchet distance problem which we therefore also establish to be in NP, answering a question which has previously been considered in several different settings. We also provide an $O(\log n)$ -approximation algorithm for Homotopy Height on surfaces by adapting an earlier algorithm of Har-Peled, Nayyeri, Salvatipour and Sidiropoulos in the planar setting.

Almost any problem in AI is NP-hard.
Not impossible! Just exponential to solve perfectly:

- heuristics
- approximation
- pruning strategies