


Adv. Data Structures

Van Emde
Boas trees



Recap

- HW2 posted,
due next Friday
- No class on Friday this
week
(happy HW-ing)
- Will have at least 1
more HW (after break)
- Project proposals: due
April 2 (no exceptions)
(2-3 pages - see webpage
for details)

Current data structure:

What if we restrict inputs?

Goal: Have a bounded set of possible elements, & want to store which ones are in my set

ie: subset of 32-bit integer

or list of names (all ≤ 30 chars)

Operations

- insert(x)
- find(x)
- delete(x)
- max/min
- Successor(x)
- predecessor(x)

Tiered Bitvector:

Put a summary on top of
the vector.

OR the bits

U/B

1	0	1	0	1	1	0	0
00100010	00000000	00011000	00000000	00000100	11110111	00000000	00000000

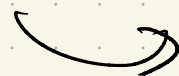
B

How to search/update:

succ: check for next value
in x's block
if none, move up &
scan upper tier (until 1)
Move down & find
min in low block

Runtime: $B + \frac{U}{B} + B$
 $= O(B + \frac{U}{B})$

How to find "best"
value for B?



What about deleting?

1	0	1	0	1 ⁰	1	0	0
001000 x ₀	00000000	00011000	00000000	00000 x ₀	11110111	00000000	00000000

- 1 delete in bottom
 $O(1)$

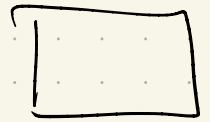
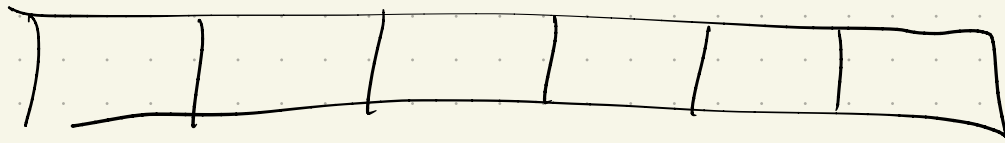
Is-empty

- if empty, delete top
(0 → 1)

Runtime:

$O(\sqrt{n})$

So: tiling helped! ($U \rightarrow \sqrt{U}$)
Can we improve even more?



\sqrt{U} blocks
each \sqrt{U} size

summary
size
 \sqrt{U}

Recurse!

For each block of
size \sqrt{U} , apply the
same construction:

$U^{1/4}$ size blocks,
plus summary

Picture:

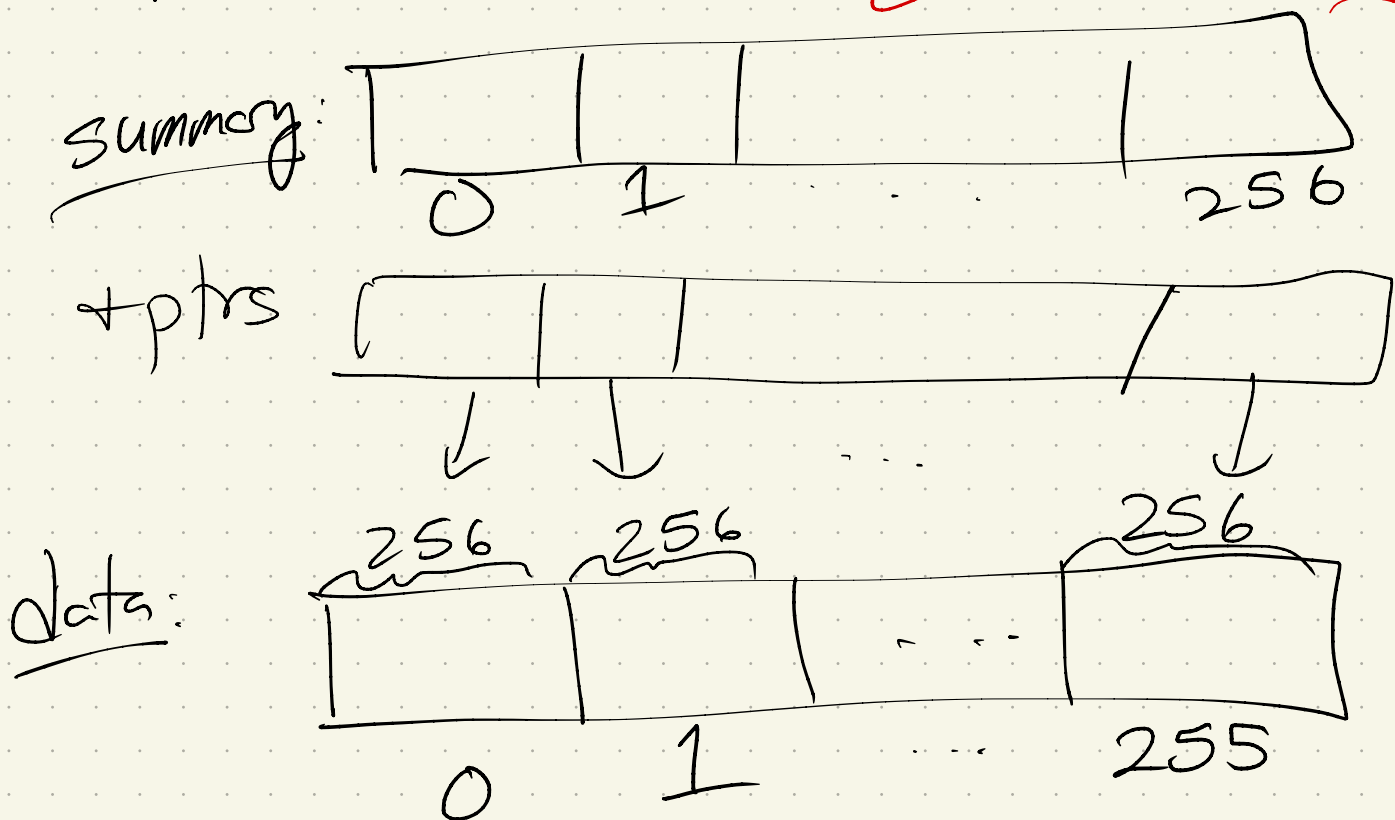
Suppose we have ASCII!

$$U = 65,536$$

$$\sqrt{U} = 256 \quad (\& U^{1/4} = 16)$$

Before:

Just an array

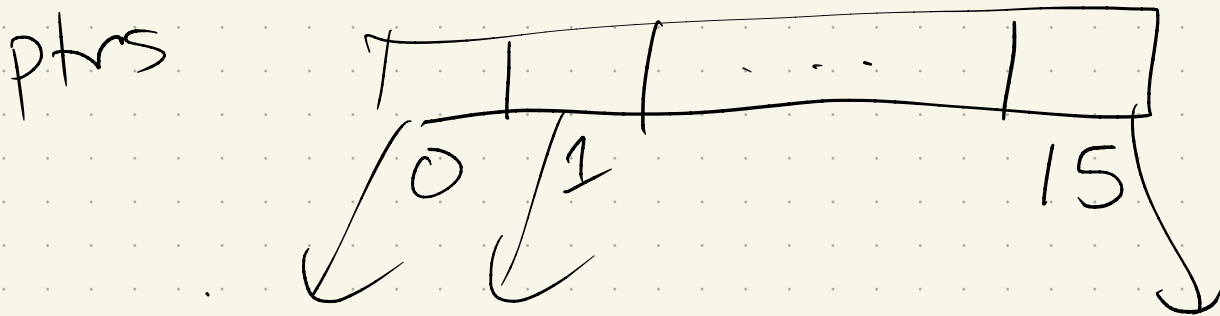
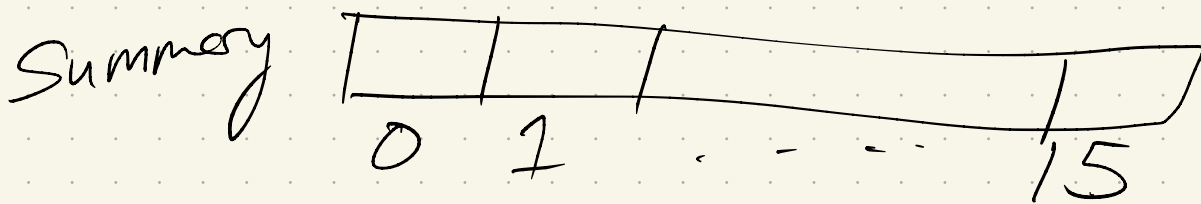


Change: recursively
store summary
& each level

Summary & data blocks:
each size 256

Apply same construction:

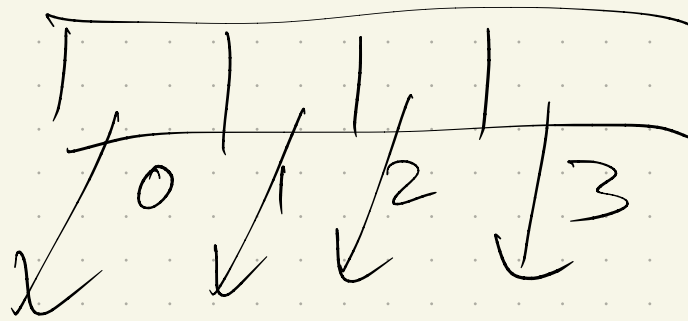
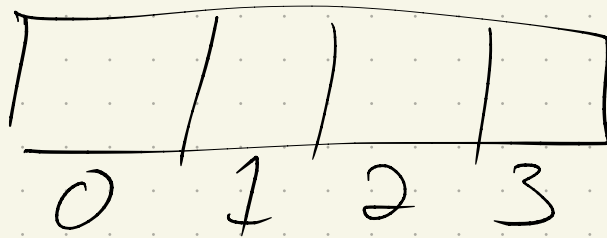
$$\sqrt{256} = 16$$



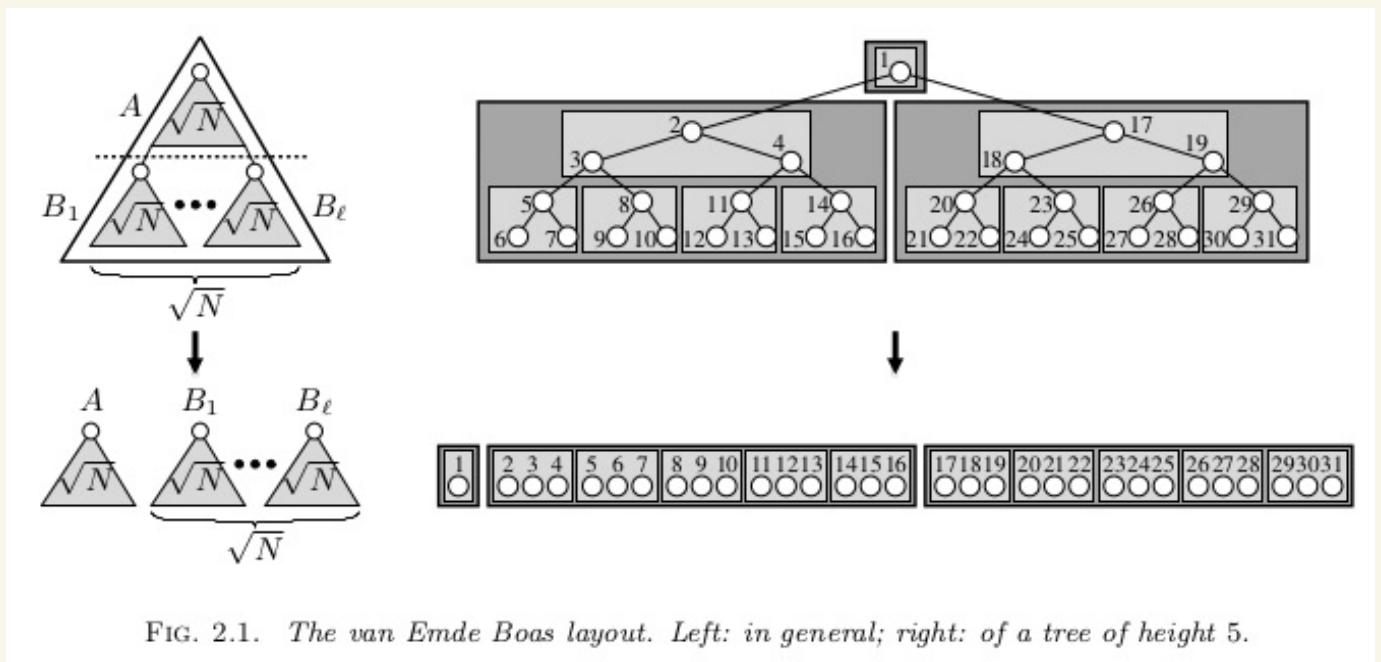
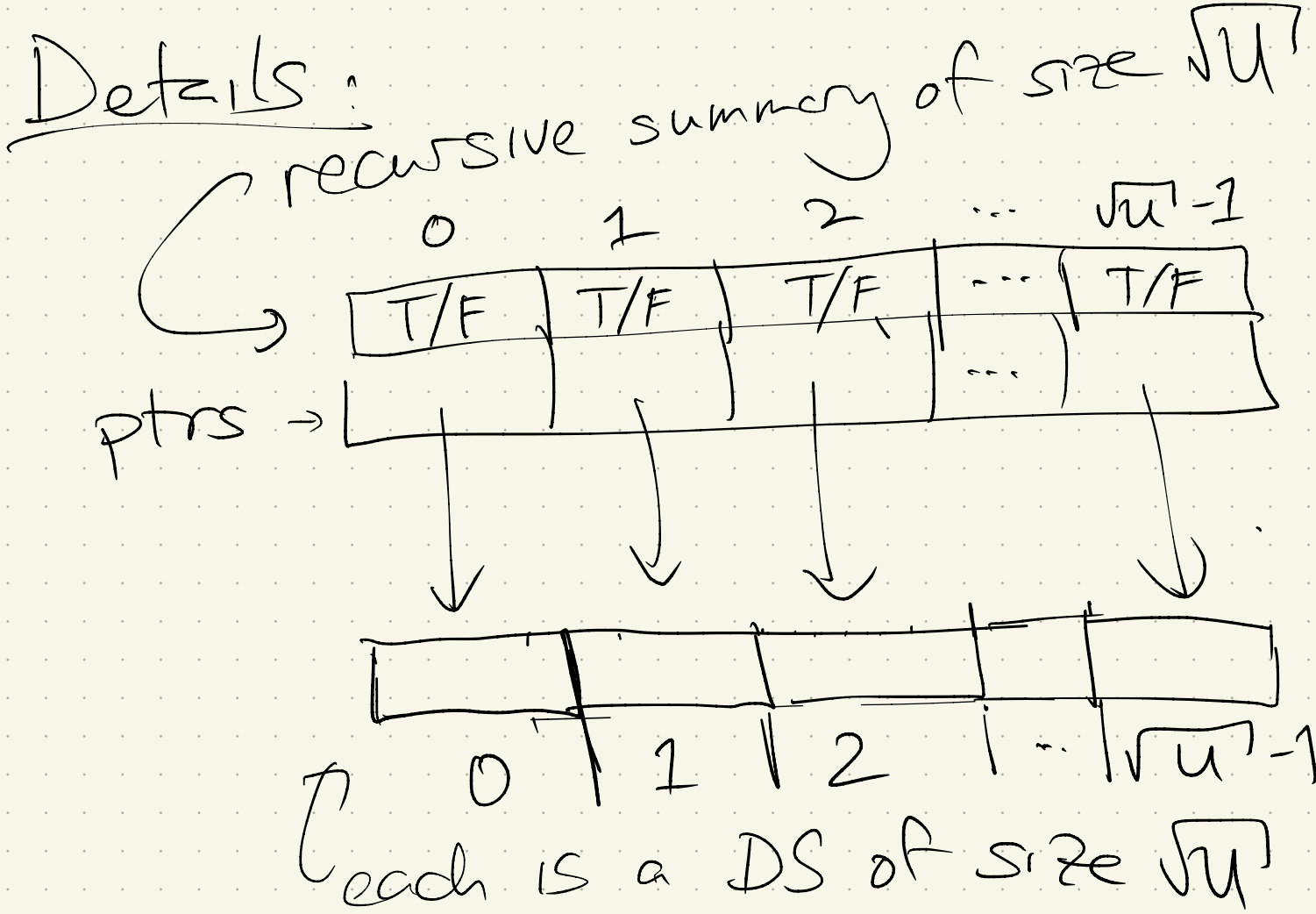
Each of those is size 16.

$$\sqrt{16} = 4$$

So:



(~~x~~ stop when ≤ 2)



Now: Implementation!

Lookup(x): 1 lookup
(find element i 's T/F in
the $(x \bmod u^{1/2})$ spot
in $\lfloor x/u^{1/2} \rfloor^{\text{th}}$ bitvector

→ summary is useless

$$L(u) = 1 + L(\sqrt{u})$$

Insert: ≤ 2 inserts in
smaller data structure
(plus an isEmpty)

IsEmpty(): 1 recursive is Empty

$$S(u) = 1 + S(\sqrt{u})$$

Min/Max(): 2 recursive calls

one on summary

$$M(u) \\ \rightarrow 2M(\sqrt{u}) \\ + 1$$

↳ then on that level recursive structure

Succ/Pred(x):

max in bottom level,

if $\text{max} == x$,

recursive succ on
summary data struc,

& then min in its
lower level

Delete(x): 1 delete,

1 is Empty, & (maybe)
another delete on

Summary

The recursion:

$$T(u) = T(\sqrt{u}) + O(1)$$

or

$$T(u) = 2T(\sqrt{u}) + O(1)$$

Use domain transformation
(link posted):

$$\text{Let } S(k) = T(2^k) = T(u)$$

$$\text{so } k = \log u$$

$$\Rightarrow S(k) = 2S(k/2) + 1$$
$$\text{or } = S(k/2) + 1$$

& solve

$$\text{or } \left[\begin{array}{l} \log u \\ \log \log u \end{array} \right]$$

The takeaway:

$O(\log U)$ worst case " "

$O(\log \log U)$ lookups

vs: $O(U)$ + $O(1)$
 ~~$O(\sqrt{U})$~~

but:

U is size of universe!

If $n \geq \log U$,
we beat \cup BST in
lookups!

(since $\log n \geq \log \log U$)

"proto VEB trees)

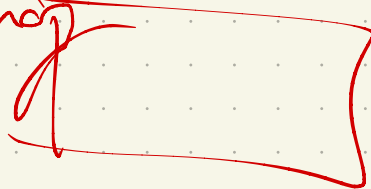
van Emde Boas tree :

A slight modification of our
tree bitvectors.

Besides summary & \sqrt{U} pointers
to next level, we'll also
store min & max
separately. (at each level)

Lookups are unchanged
(except we also check
if target is min
or max)

summary



max



min

Important: min & max
are only stored in
special field.

(this changes the code...)

The Good:

• Min, max, & is Empty, are now $O(1)$ time!

vs. $O(\log U) + O(\log \log U)$ before

• Lookup is unchanged:

$O(\log \log U)$

If x isn't max or min, then query DS insert $\lfloor \frac{x}{U^{1/2}} \rfloor$ th $x \bmod U^{1/2}$

The bad:

- Need to change insert, delete, & succ/pred.

Insert(x):

Basically the same
(≤ 2 inserts in \sqrt{u} DS)

But:

- max + min
- empty case

First attempt:

If tree is empty or size 1:

change max ^{or min} _{and} min

"x" might
be old
max or
min

Else:

Check max + min
(+ update if needed)

Then insert ~~$(x \bmod u^{1/2})$~~
into ~~$\lfloor x/u^{1/2} \rfloor$~~ th DS

If it wasn't empty
insert into summary
also

\Rightarrow Runtime: ~~$T(u) = 2T(\sqrt{u}) + O(1)$~~

Doing better:

An observation: If tree is empty, insert runs in $O(1)$ time.

Recall:

IF low level is empty:
insert twice

otherwise:
insert once

→ It was empty!!

New recurrence:

$$I(u) = 1 + 1 + I(\sqrt{u})$$

$$\Rightarrow \underline{O(\log \log u)} !!$$

Delete:

Similar setup: ✓

$O(1)$ { If size is 1, update min/max & done

Else if min (or max) is deleted, replace with min (or max) of first (or last) non-empty block, & recursively delete that.

$1 + P(u)$
 \Downarrow
 $O(\log \log u)$

Else:

delete $x \bmod u^{1/2}$ from correct subtree
if empty, delete $\lfloor \frac{x}{u^{1/2}} \rfloor$ from summary

Key: again, only delete twice if one was empty!

New recurrence:

$$D(u) = 1 + D(\sqrt{u}) + 1$$

$$= O(\log \log u)$$

Successor(x):

If tree is empty or $x > \max$:

declare failure

else if tree $[u^{1/2}]^x$ is
not empty & $x < \max$
in that tree,
recursively call successor
on that tree

else:

Find successor of $[u^{1/2}]^x$
in summary

if it exists, return
min in that tree

otherwise

return max of
summary

Runtime:

$$S(n) = 1 + S(\sqrt{n})$$

$$\Rightarrow \log \log 4$$

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Takeaway: MAGIC!!

Runtime is $O(\log \log U)$,
(or $O(1)$ for \min, \max
& is Empty)

If $n \gg \log U$:
~~exponentially~~ faster
than a BST.

The catch:

Space
& hidden big-O

Other cool thing:
cache oblivious

Next time:

Switching focus slightly:

- heap variants
(binomial + Fibonacci
heaps)
- and suffix trees