

Transition: DS + Algorithms

Linear
recurrences



Worksheet!

c) one digit
↳ return n

DigitSum(n):

if $0 < n < 10$:

return n

else // (at least 2 digits)

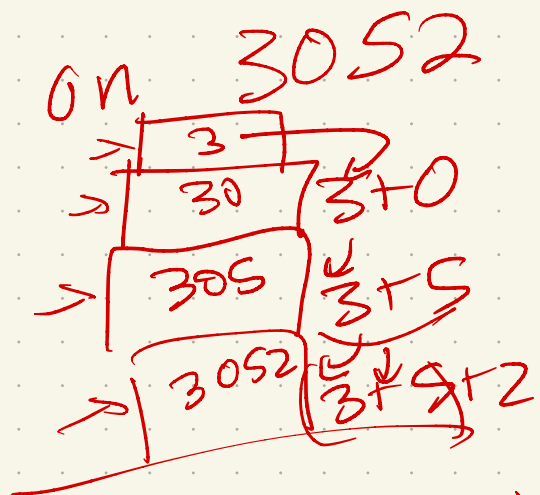
return $[(n \bmod 10) + \text{DigitSum}(n \div 10)]$

b) DigitSum(472)
DigitSum(472)
= DigitSum(47)
+ 2

one digit smaller than n

$$T(n) = O(1) + T(n \div 10)$$

digits in n $\rightarrow \log_{10} n$



Recursive algorithms

A function which calls itself

↳ but, with smaller input!

Example:

$$f_n = f_{n-1} + f_{n-2}$$

$\forall n > 1$

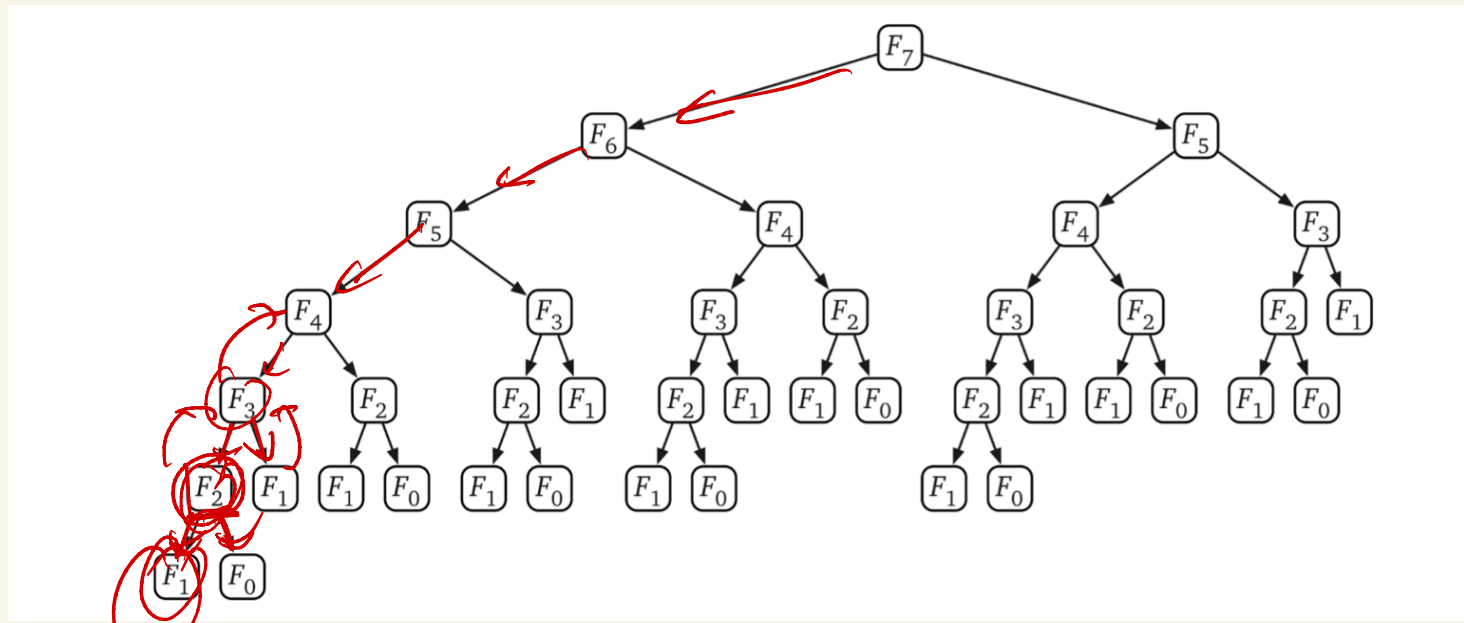
$$f_0 = 0 \quad f_1 = 1$$

0, 1, 1, 2, 3, 5
8, 13, ...

↳ FIB(n):
if $n < 2$:
 return n
else
 return $FIB(n-1) + FIB(n-2)$

Unpacking the recursion

Call $\text{Fib}(7)$:



Note:

Not all happening at once!
check code & think about how
programs work etc.

Why?

Well, for more complex algorithms/structures
can use a recursive definition to
build the algorithms

↳ then use induction to prove
correctness! (see last reading)

Also $\rightarrow$ runtime often becomes a
recurrence.

So, need to do big-O, but
of a recurrence!

Recall: $n! = n(n-1)(n-2) \dots 2 \cdot 1$
 How to Compute?

$$0! = 1$$

$$1! = 1 \cdot 0!$$

Correctness:
 Proof.

induction on n :

Base case:
 if $n=0$, return 1 ✓

Ind Hyp: assume
 $\text{FACTORIAL}(n-1)$
 is correct

Ind Step:

My alg returns $n \cdot \text{FAC}(n-1)$

$\Rightarrow$ returning $n(n-1)! = n!$ ✓
 correct by IH

$\text{FACTORIAL}(n)$

if $n=0$

return 1

else

$r = \text{FACTORIAL}(n-1)$

return $n \cdot r$

1,

n-2,

n-1,

n,

stack

positive integer

Runtime:
Let $T(\underline{k}) = 4 + T(k-1) \leftarrow \text{order 1}$
function on
input k .
 $n \geq 0$

Then:

$$T(n) = 4 + T(n-1)$$

"Unrolling": $= 4 + (4 + T(n-2))$

$$= 4 + 4 + 4 + T(n-3)$$

stops when
hit $T(0)$

$$\vdots$$
$$= (4 + 4 + 4 + \dots + 4) + T(0) = 4n$$

When unrolling fails (& it often does):

FIB(n):

if $n < 2$:
 return n

else
 return $FIB(n-1) + FIB(n-2)$

$F(n) = \text{runtime of Fib}(n)$

Recurrence:

$$\begin{aligned} F(n) &= F(n-1) + F(n-2) + 3 \\ &= (F(n-2) + F(n-3) + 3) + \\ &\quad (F(n-3) + F(n-4) + 3) + 3 \\ &\vdots \\ &\text{order } 2 \end{aligned}$$

Defn A linear homogeneous recurrence has the form

$$a_n = C_1 a_{n-1} + C_2 a_{n-2} + \dots + C_d a_{n-d}$$

where $C_1, \dots, C_d$ are constant and $C_d \neq 0$

The order of the recurrence is d .

Examples:

$$a_n = 3a_{n-1} + 2a_{n-2} \rightarrow \text{order 2}$$

$$T(n) = 0 \cdot T(n-1) + 0 \cdot T(n-2) + 0 \cdot T(n-3) + 4 \cdot T(n-4) \quad T(n) = 4T(n-4) \quad \text{order 4}$$

$$F_n = F_{n-1} + F_{n-2} \quad \text{order 2}$$

Examples: Yes or no? Is it linear hom rec?

$$P(n) = 1.06 P(n-1)$$

yes $\rightarrow$ order 1

$$H(n) = 2H(n-1) + 1$$

no $\rightarrow$ added fn

$$R(n) = R(n-1) + (R(n-2))^2 \rightarrow \text{no, not linear}$$

$$a_n = \sqrt{3} a_{n-5}$$

yes $c_5 = \sqrt{3}$
order 5 c_1, c_2, c_3, c_4
all = 0

$$f_n = n \cdot f_{n-1}$$

n is not constant

$$M(n) = 2M\left(\frac{n}{2}\right) + n$$

no

$\uparrow$ term
 $n/2$ is not $n-d$

How to solve:

Generally, these types have solutions which are exponential.

So $\rightarrow$ look for equations of the

$$\text{form } a_n = r^n$$

where r is some constant

If a solution of this form exists,

$$\text{have: } a_n = C_1 a_{n-1} + C_2 a_{n-2} + \dots + C_d a_{n-d}$$

$$\Downarrow$$
$$r^n = C_1 r^{n-1} + C_2 r^{n-2} + \dots + C_d r^{n-d}$$

meth!

divide common term:

$$r^{n-d}$$

$$\Downarrow$$
$$r^d = C_1 r^{d-1} + C_2 r^{d-2} + \dots + C_d$$

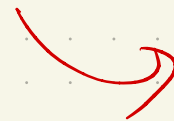
So: The sequence $a_n = r^n$ is a solution



r is a solution of the
characteristic eqn:

$$\underline{r^d} - c_1 r^{d-1} - \dots - c_d = 0$$

How to find r ? math!



Ex: $P(n) = 1.06 \cdot P(\underline{n-1})$ order 1

$\hookrightarrow r^1 = 1.06 \Rightarrow r - 1.06 = 0$
 $r = 1.06$ $P(n) = C \cdot (1.06)^n$

Ex $\underline{a_n} = \underline{a_{n-1}} + 2a_{n-2}$ order 2

$r^2 - 1r - 2 = 0$ char eqn

$r^2 - r - 2 = 0$

$(r - 2)(r + 1) = 0$ (or use computer)

roots 2 & -1

$a_n = C_1 \cdot 2^n + C_2(-1)^n$

Ex: $F_n = F_{n-1} + F_{n-2}$ order 2

$r^2 - r - 1 = 0$ char eq

~~$(r-1)(r+1)$~~

$a=1$ $c=-1$
 $b=-1$

$$r = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a} = \frac{1 \pm \sqrt{1 - 4 \cdot 1 \cdot (-1)}}{2 \cdot 1}$$

golden
ratio

$$= \frac{1 \pm \sqrt{5}}{2} = \phi$$

$$F_n = C_1 \left(\frac{1 + \sqrt{5}}{2} \right)^n + C_2 \left(\frac{1 - \sqrt{5}}{2} \right)^n$$

$\frac{1 + \sqrt{5}}{2}$

Ex: $B(n) = 2B(n-1) + B(n-2)$

... This is a bit different!

Finding general solutions

- If r is a non-repeated root of the char. eqn, then r^n is a solution to the recurrence.
- If r is a repeated root with multiplicity k then $r, n \cdot r^n, \dots, n^{k-1} r^n$ are all solutions
- Any linear combination will satisfy the recurrence, but need to use initial values to find constants

Huh?

Examples

- $P(n) = 1.06 P(n-1)$

$$P(0) = 10,000$$

$$x - 1.06 = 0 \Rightarrow \text{root is } x = 1.06$$

so $P(n) = C \cdot (1.06)^n$

what is C ?

$$\bullet A(n) = A(n-1) + 2A(n-2)$$

$$\bullet A(0) =$$

$$A(1) =$$

$$\Rightarrow x^2 - x - 2 = 0 \text{ had roots}$$

$$x = 2 \text{ and } x = -1$$

$$\text{So: } A(n) = C_1 \cdot 2^n + C_2 \cdot (-1)^n$$

- Suppose a characteristic equation for $C(n)$ simplifies to:

$$(x-2)^3 (x-5)^2 (x+9) = 0$$

Then roots are:

$$\text{and } C(n) \geq$$

So:

We can find runtimes, as long as
the recurrence is linear &
homogeneous!

Q: What if it's not??

Two others we'll study here,
since they are useful in computing.

- linear inhomogeneous

- divide & conquer

Linear inhomogeneous recurrences

These are just linear ones, with an added function $g(n)$ at end:

$$f(n) = c_1 f(n-1) + \dots + c_d f(n-d) + g(n)$$

Examples:

$$F(n) = F(n-1) + F(n-2) + 1$$

$$A(n) = 4A(n-1) - 2^n + n^2$$

Next time: How to solve?

