

# Algorithms

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More Recursion

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# Recap

- HWO due

A few thoughts:

- goal of HW
- induction
- recursion

- HW1 posted: Due next Friday  
(may work in groups)

- HW2 will be 1<sup>st</sup> orally graded HW

- Next week: Finally back to normal,  
I hope!

Perusall due by 8am on  
Monday

Recursion trees: Master theorem

One way to tackle recurrences.

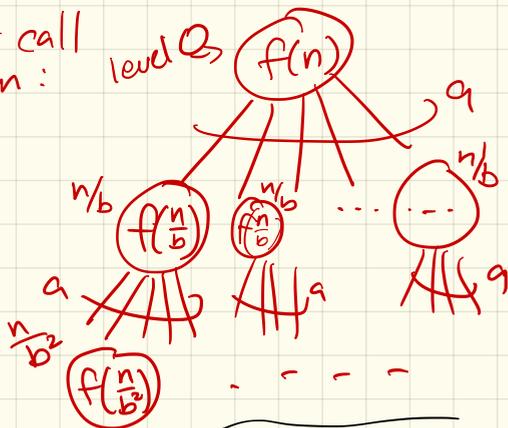
(There are others!)

Big idea:  $T(k) = aT(\frac{k}{b}) + f(k)$

$$T(n) = aT(\frac{n}{b}) + f(n)$$

Sum total amount of "work":

Root - first call  
on  $f(n)$ :



level  $k$ :



$$\text{total in tree} := \sum_{i=0}^{\text{depth}} (\text{work on level } i)$$

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$$= \sum_{i=0}^{\text{depth}} (\# \text{ nodes in level } i) (\text{work in each node})$$

$$= \sum_{i=0}^{\text{depth}} (a^i) (f(\frac{n}{b^i}))$$

series, value can be bounded using identities

depth:  $d$  is when

$$\frac{n}{b^d} = 1 \Rightarrow n = b^d$$

$$\log_b n = \log_b (b^d) = d$$

$$d = O(\log n)$$

You saw the merge sort recurrence:

```

MERGESORT(A[1..n]):
  if n > 1
    m ← ⌊n/2⌋
    MERGESORT(A[1..m])
    MERGESORT(A[m+1..n])
    MERGE(A[1..n], m)
  
```

$M(n)?$  →  
 $M(\frac{n}{2})$  →  
 $M(\frac{n}{4})$  →  
 $O(n)$

← 1 op  
 ((Recurse!))  
 ((Recurse!))

```

MERGE(A[1..n], m):
  i ← 1; j ← m + 1
  for k ← 1 to n
    if j > n
      B[k] ← A[i]; i ← i + 1
    else if i > m
      B[k] ← A[j]; j ← j + 1
    else if A[i] < A[j]
      B[k] ← A[i]; i ← i + 1
    else
      B[k] ← A[j]; j ← j + 1
  for k ← 1 to n
    A[k] ← B[k]
  
```

Figure 1.6. Mergesort

$$\begin{aligned}
 M(n) &= 1 + M\left(\frac{n}{2}\right) + M\left(\frac{n}{2}\right) + 8 \cdot n \\
 &= 2M\left(\frac{n}{2}\right) + O(n)
 \end{aligned}$$

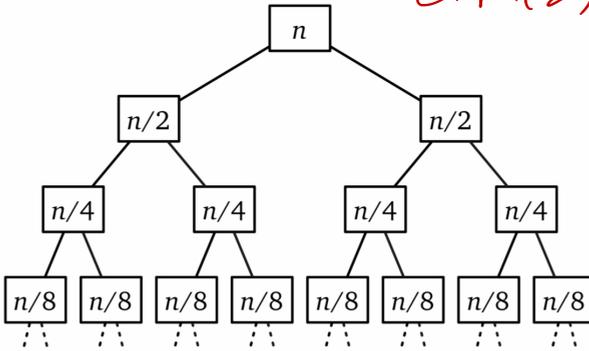


Figure 1.10. The recursion tree for mergesort

Summation:

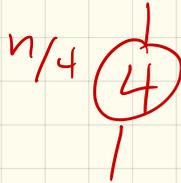
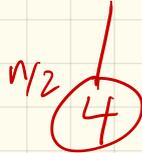
$$\sum_{i=0}^{\log_2 n} \frac{n}{2^i} = n \sum_{i=0}^{\log_2 n} 1 = n \log n$$

Another: Binary search.

$$B(n) \leq 4 + B\left(\frac{n}{2}\right)$$

$$\leq 1 \cdot B\left(\frac{n}{2}\right) + \cancel{4} \\ \theta(1)$$

Tree: level 0:  $\textcircled{4}$



$\vdots$

$$\frac{n}{2^d} = 1 \quad \textcircled{4}$$

$$\sum_{i=0}^{\log_2 n}$$

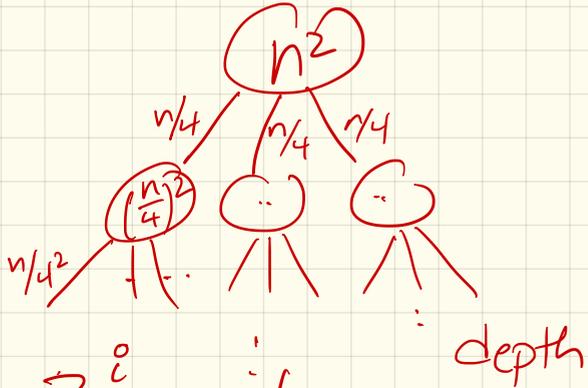
$$1 \cdot 4 = (4 + 4 + \dots + 4)$$

$$= O(\log n)$$

Example:

$$f(n) = n^2$$

$$T(n) = 3T\left(\frac{n}{4}\right) + n^2 \quad f\left(\frac{n}{4}\right) = \left(\frac{n}{4}\right)^2$$



level  $i$  :  $3^i$  nodes

each holding  $\left(\frac{n}{4^i}\right)^2$

$$T(n) = \sum_{i=0}^{\log_4 n} (3^i) \left(\frac{n}{4^i}\right)^2$$

$$= \sum_{i=0}^{\log_4 n} n^2 \cdot 3^i \cdot \left(\frac{1}{4^i}\right)^2$$

$$= n^2 \left[ \sum_{i=0}^{\log_4 n} \left(\frac{3}{16}\right)^i \right] = O(n^2)$$

Ignoring floors & ceilings (& constants):

In practice, even if you don't understand this, the point is you can do it!

The Why: domain transformation

Idea: Exact solution is impossible.

But - upper & lower  
bound the (messy)  
summation.

Upper bound:

$$T(n) = T(\lceil \frac{n}{2} \rceil) + T(\lfloor \frac{n}{2} \rfloor) + n$$

First:  $T(n) \leq S(n)$

$$T(n) = S(n-2)$$

define  $S(n)$ , so it's close to  $T(n)$  & "master"-like:

$$S(n) = T(n+\alpha)$$

and  $S(n) \leq 2S(\frac{n}{2}) + O(n)$

How??

↑  
"master"-like

Next One: Multiplication

In general, we say this is  
 $O(n)$  time  $\rightarrow$  lies!

In reality:

```
  31415962
  x 27182818
            
 251327696
  31415962
 251327696
 62831924
251327696
 31415962
219911734
62831924
853974377340916
```

How to formalize?

nested for loops

Runtime? (2- n-bit #s)

$O(n^2)$

Better: A trick:

$$(10^m a + b)(10^m c + d)$$

$$= 10^{2m} ac + 10^m (bc + ad) + bd$$

Example  $\left. \begin{array}{l} 963,245 \\ 624,197 \end{array} \right\} + m=3 :$

Make this an algorithm:

MULTIPLY( $x, y, n$ ):

if  $n = 1$

return  $x \cdot y$

else

$m \leftarrow \lceil n/2 \rceil$

$a \leftarrow \lfloor x/10^m \rfloor$ ;  $b \leftarrow x \bmod 10^m$

$d \leftarrow \lfloor y/10^m \rfloor$ ;  $c \leftarrow y \bmod 10^m$

$e \leftarrow \text{MULTIPLY}(a, c, m)$

$f \leftarrow \text{MULTIPLY}(b, d, m)$

$g \leftarrow \text{MULTIPLY}(b, c, m)$

$h \leftarrow \text{MULTIPLY}(a, d, m)$

return  $10^{2m}e + 10^m(g + h) + f$

Runtime:

Hrm - not better after all...

Another trick!

$$ac + bd - (a-b)(c-d) = bc + ad$$

Huh?

Recall:

$$\begin{aligned} (10^m a + b)(10^m c + d) \\ = 10^{2m} ac + 10^m (bc + ad) + bd \end{aligned}$$

Conclusion:

New & improved pseudocode:

FASTMULTIPLY( $x, y, n$ ):

if  $n = 1$

return  $x \cdot y$

else

$m \leftarrow \lceil n/2 \rceil$

$a \leftarrow \lfloor x/10^m \rfloor$ ;  $b \leftarrow x \bmod 10^m$

$d \leftarrow \lfloor y/10^m \rfloor$ ;  $c \leftarrow y \bmod 10^m$

$e \leftarrow \text{FASTMULTIPLY}(a, c, m)$

$f \leftarrow \text{FASTMULTIPLY}(b, d, m)$

$g \leftarrow \text{FASTMULTIPLY}(a - b, c - d, m)$

return  $10^{2m}e + 10^m(e + f - g) + f$

Analysis .

## Some comments

- In practice, done in base 2, not 10.
- Actually, this can break down even more!

If we apply another recursive layer, can get  $O(n \log n)$  eventually.

(Ever heard of Fast Fourier transforms?)