

CS314: Recursive Algorithms

Note Title

9/4/2013

Announcements

- Homework 1 up (probably) tomorrow
 - due next Friday
 - written this time
(HW2 will be oral grading)
- Turn in HW0 now
- Picnic next week!
(4pm next Wed)

Another (old) example: Merge Sort

According to Knuth, suggested by von Neumann around 1945.

Idea: ① Subdivide array into 2 parts.

② Recursively sort the 2 parts.

③ Merge them back together.

Input:	S	O	R	T	I	N	G	E	X	A	M	P	L	
Divide:	S	O	R	T	I	N		G	E	X	A	M	P	L
Recurse:	I	N	O	S	R	T		A	E	G	L	M	P	X
Merge:	A	E	G	I	L	M	N	O	P	S	R	T	X	

Key: IF thinking recursively
only step 3 is non-trivial!

```
MERGESORT(A[1..n]):  
  if (n > 1)  
    m ← ⌊n/2⌋  
    MERGESORT(A[1..m])  
    MERGESORT(A[m+1..n])  
    MERGE(A[1..n], m)
```

(Again, avoid unrolling.)

What's my base case here?
size 1 (or less)

How to merge?

Input:	S	O	R	T	I	N	G	E	X	A	M	P	L	
Divide:	S	O	R	T	I	N		G	E	X	A	M	P	L
Recurse:	I	N	O	S	R	T	A	E	G	L	M	P	X	
Merge:	A	<u>E</u>	G	I	L	M	N	O	P	S	R	T	X	

$k=1$

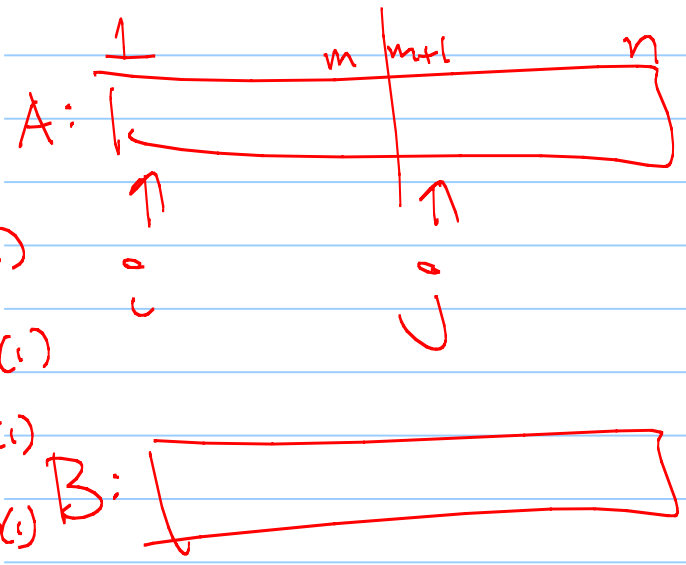
Write a subroutine:

```
MERGE(A[1..n], m):  
   $i \leftarrow 1; j \leftarrow m+1$   
  for  $k \leftarrow 1$  to  $n$   
    if  $j > n$   
       $B[k] \leftarrow A[i]; i \leftarrow i+1$   $O(1)$   
    else if  $i > m$   
       $B[k] \leftarrow A[j]; j \leftarrow j+1$   $O(1)$   
    else if  $A[i] < A[j]$   
       $B[k] \leftarrow A[i]; i \leftarrow i+1$   $O(1)$   
    else  
       $B[k] \leftarrow A[j]; j \leftarrow j+1$   $O(1)$   
  for  $k \leftarrow 1$  to  $n$   
     $A[k] \leftarrow B[k]$ 
```

n times
 \downarrow
 $O(n)$

$O(n)$

$\rightarrow O(n) + O(n) + 3 = O(n)$



Proof of correctness: Actually, 2 of them.

Lemma: MERGE results in sorted order.

pf: given 2 sorted subarrays.
induction on sizes of $A[i..m]$ and $A[j..n]$

Base case $A[i..m]$ empty
or $A[j..n]$ empty
correct thing is to just keep
taking elements from non-empty
list, which is what our first
2 if's do.

IH: Alg is correct for smaller
inputs.

Cont: IS: Consider $A[i \dots m]$ & $A[j \dots n]$
not base case, so neither is
empty.

Since these are sorted, first element
of one of them must be
minimum (or else not sorted).

Alg: Finds min & moves it to B.
& shrinks one of the ^{sub} arrays
by 1.

By IH, correct on rest.

□

PF that mergesort works.

Base case: size 0 or 1, do nothing

IH: works for lists of size $k < n$

IS: Consider $A[1 \dots n]$

By IH, $A[1 \dots m]$ & $A[m+1 \dots n]$ are sorted.

Now need to show A ends in sorted order, which it does since Merge works (by prev. lemma).

Runtime: Let $M(n)$ = runtime on n elements
of mergesort

$$M(0) = M(1) = O(1)$$

(1 comparison)

$$M(n) = \underbrace{3}_{\text{runtime of Merge}} + M(\lfloor \frac{n}{2} \rfloor) + M(\lceil \frac{n}{2} \rceil) + \underbrace{O(n)}_{\text{runtime of Merge}}$$
$$= 2M(\frac{n}{2}) + O(n)$$

$$\Rightarrow M(n) = O(n \log n)$$

Multiplication : fundamental

```
  31415962
× 27182818
-----
 251327696
 31415962
251327696
62831924
251327696
31415962
219911734
62831924
-----
853974377340916
```

or

x	y	prod
		0
123	+456	= 456
61	+912	= 1368
30	+1824	
15	+3648	= 5016
7	+7296	= 12312
3	+14592	= 26904
1	+29184	= 56088

How fast? (n -^{digit}~~bit~~ number)
 $\Theta(n^2)$

$\Theta(n^2)$

Divide & conquer strategy:

$$(10^m a + b)(10^m c + d) = 10^{2m} \underline{ac} + 10^m (bc + ad) + \underline{bd}$$

$$\underbrace{963}_a, \underbrace{245}_b \quad m=3 = 10^3 \cdot 963 + 245$$

How to turn this into an algorithm?

$$\underbrace{624}_c \quad \underbrace{197}_d$$

$$ac = 963 \cdot 624$$

$$bd = 245 \cdot 197$$

$$bc + ad =$$

Pseudo code

```
MULTIPLY(x, y, n):  
  if n = 1  
    return x · y  
  else  
    m ← ⌊n/2⌋  
    a ← ⌊x/10m⌋; b ← x mod 10m  
    d ← ⌊y/10m⌋; c ← y mod 10m  
    e ← MULTIPLY(a, c, m)  
    f ← MULTIPLY(b, d, m)  
    g ← MULTIPLY(b, c, m)  
    h ← MULTIPLY(a, d, m)  
    return 102me + 10m(g + h) + f
```

$O(1)$
4 recursive calls

← adding n bit #'s:
 $O(n)$

Runtime?

$$T(1) = 1$$
$$T(n) = 4T\left(\frac{n}{2}\right) + O(n)$$

a $T\left(\frac{n}{b}\right) \neq f(n)$

$f(n)$ to $n^{\log_b a}$
 $O(n)$ to $n^{\log_2 4}$
 n^2
 $= O(n^2)$

Hmm... not better after all...

Another trick:

$$ac + bd - (a-b)(c-d) = bc + ad$$

Why will this help?

Recall:

$$(10^m a + b)(10^m c + d) = 10^{2m} ac + 10^m (bc + ad) + bd$$

Now, pseudo code only has 3 recursive calls!

```
FASTMULTIPLY(x, y, n):  
  if n = 1  
    return x · y  
  else  
    m ← ⌊n/2⌋  
    a ← ⌊x/10m⌋; b ← x mod 10m  
    d ← ⌊y/10m⌋; c ← y mod 10m  
    e ← FASTMULTIPLY(a, c, m)  
    f ← FASTMULTIPLY(b, d, m)  
    g ← FASTMULTIPLY(a - b, c - d, m)  
    return 102me + 10m(e + f - g) + f
```

Runtime?

$$T(n) = 3T\left(\frac{n}{2}\right) + O(n)$$

(4n instead of n)

$$T(n) = O(n^{\log_2 3})$$

$$\log_2 3 < 2$$

$$= n^{1.58...}$$

Notes:

- In practice, this is done in binary -
replace 10's with 2's.

- This idea can be broken down
recursively even further, for
an eventual $O(n \log n)$ time.

(Ever heard of Fast Fourier transforms?)