

CS314 - Induction, Recurrences + Recursion

Note Title

8/28/2013

Announcements

- HW due Wed. at start of class

- next HW out Wed, due the following Friday

For any induction proof:

4 pieces: - know what you are inducting on

- base case

- Inductive Hypothesis

- Inductive Step

Ex: The Gossip Problem

- There are n people, & each knows a unique secret.
- Every time 2 people call each other, they tell all of the secrets that they know to each other.

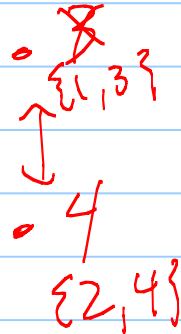
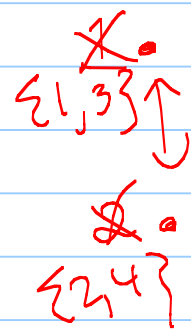
How many phone calls are necessary before everyone knows all the secrets?

Thm: If $n \geq 4$, then $2n-4$ calls are enough.

Proof: induction on # of people

Base case: 4 people

goal: $2 \cdot 4 - 4 = 4$ calls



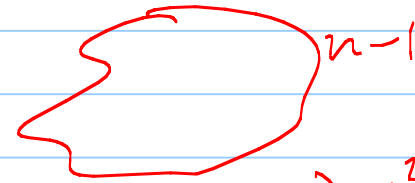
1 & 3 talk
2 & 4 talk
1 & 2 talk
3 & 4 talk

IH: For $k < n$ people, $2k-4$ calls suffice.

IS: n people n calls 1

take person n away

n .



$$2(n-1)-4$$

$$= 2n-6$$

n calls 1



Now, recursion:

Induction starts at bottom + builds up.

Recursion is the natural dual idea:

- Start with n things
- Reduce to smaller subproblem(s)
- Eventually stop at some small base case

Solving recurrences

$$H(n) = 2H(n-1) + 1$$

$$M(n) = 2M\left(\frac{n}{2}\right) + n$$

$$T(n) = T\left(\frac{3n}{4}\right) + n$$

How to solve?

- Unrolling
- Master theorem : $S(n) = aS\left(\frac{n}{b}\right) + f(n)$
- Guess & check
- Characteristic eqn method
(Annihilator method)

Recursion

Based on the idea of reduction;
reducing X to Y means giving
an algorithm to solve X which
may use Y as a subroutine.

Ex: (from last class)

the Congress partitioning
↳ used priority queue

Recursion (cont)

Reduce a problem to a simpler instance of the same problem.

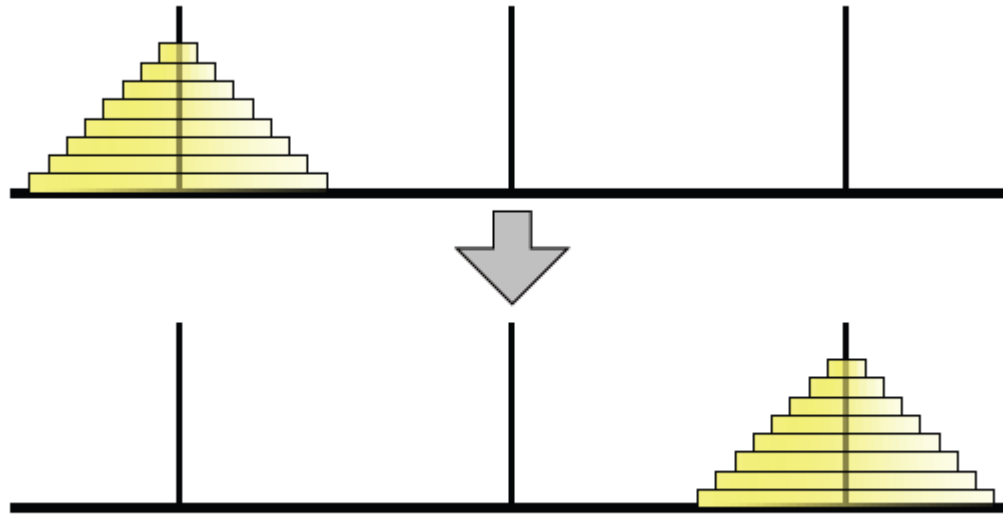
Necessary pieces (like induction):

- base case

- recursive call
(to smaller input)

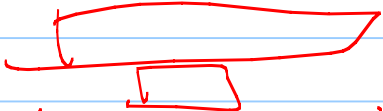
- (some extra work)

Towers of Hanoi

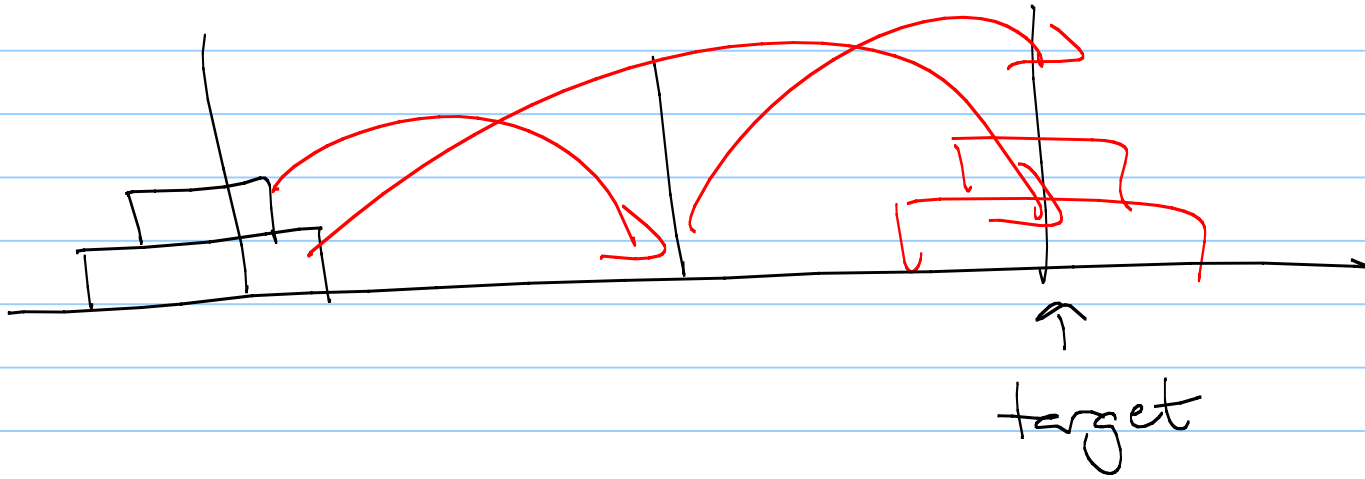


The Tower of Hanoi puzzle

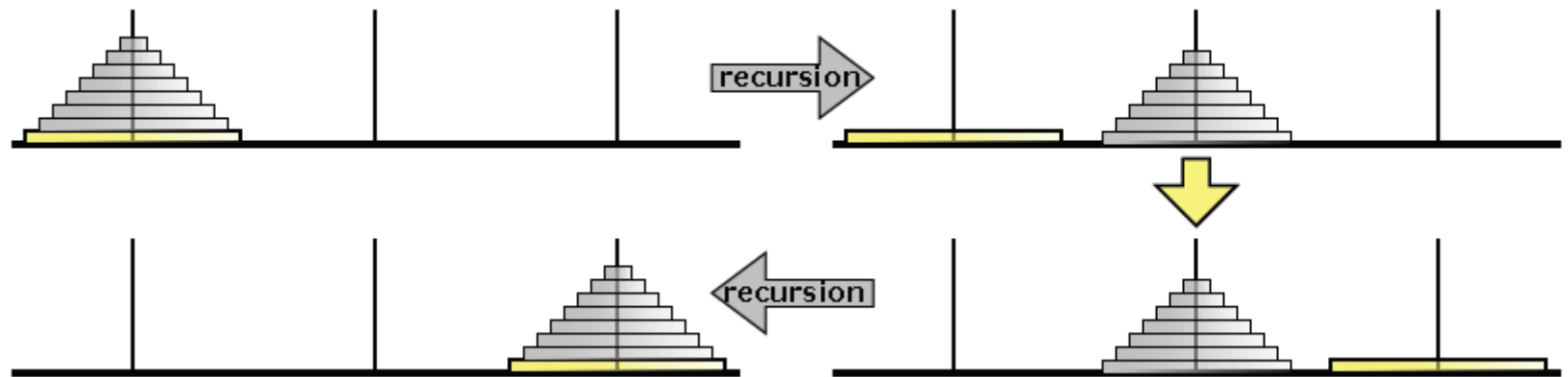
Rules:

- no 
- move 1 at a time

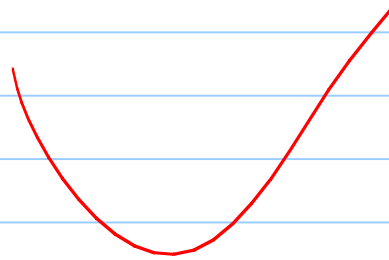
How?



Think recursively!



Base case?



The trick: think recursively!

Most people try to unroll the recurrence - not necessary!
Think of this like a procedure:

```
HANOI(1 $n$ , 3 $src$ , 2 $dst$ ,  $tmp$ ):  
  if  $n > 0$   
    HANOI( $n - 1$ , 1 $src$ , 2 $tmp$ ,  $dst$ )  
    move disk  $n$  from  $src$  to  $dst$   
    HANOI( $n - 1$ , 2 $tmp$ , 3 $dst$ ,  $src$ )
```

Proof of correctness

induction on ^{# of} disks, n :

Base case $n=1$ recursive calls do

IH: For $k < n$ disks, alg. is correct. + 1 disk moves ^{nothing} correctly

IS: n disks.

by IH, $n-1$ top move to temp

big one goes to dest.

by IH, $n-1$ top move correctly to dest.

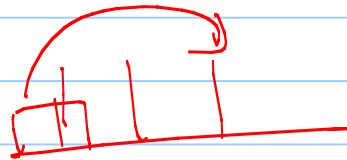
(don't violate rules in top level) \square

Run time: \swarrow # moves
Let $H(k) =$ time to solve
towers of Hanoi w/ ~~k~~ pancakes

$$H(0) = 0$$

$$H(1) = 1$$

$$H(2) = 3$$



$$H(n) = H(n-1) + 1 + H(n-1)$$

$$H(n) = 2H(n-1) + 1$$

$$\Leftrightarrow a_n = 2a_{n-1} + 1$$

$$x - 2 = 0$$

$$\text{root} = 2$$

$$P(n) \equiv \text{degree} = 0$$

$$H(n) = c_1 \cdot 2^n + c_2$$

$$= 2^n - 1 \quad (?)$$

Another (old) example: Merge Sort

According to Knuth, suggested by von Neumann around 1945.

Idea: ① Subdivide array into 2 parts.

② Recursively sort the 2 parts.

③ Merge them back together.

Input:	S	O	R	T	I	N	G	E	X	A	M	P	L	
Divide:	S	O	R	T	I	N		G	E	X	A	M	P	L
Recurse:	I	N	O	S	R	T		A	E	G	L	M	P	X
Merge:	A	E	G	I	L	M	N	O	P	S	R	T	X	

Key: IF thinking recursively
only step 3 is non-trivial!

```
MERGESORT(A[1..n]):  
  if (n > 1)  
    m ← ⌊n/2⌋  
    MERGESORT(A[1..m])  
    MERGESORT(A[m+1..n])  
    MERGE(A[1..n], m)
```

(Again, avoid unrolling.)

What's my base case here?
size 1 (or less)

How to merge?

Input:	S	O	R	T	I	N	G	E	X	A	M	P	L	
Divide:	S	O	R	T	I	N		G	E	X	A	M	P	L
Recurse:	I	N	O	S	R	T		A	E	G	L	M	P	X
Merge:	A	E	G	I	L	M	N	O	P	S	R	T	X	

Write a subroutine:

```
MERGE(A[1..n], m):  
  i ← 1; j ← m + 1  
  for k ← 1 to n  
    if j > n  
      B[k] ← A[i]; i ← i + 1  
    else if i > m  
      B[k] ← A[j]; j ← j + 1  
    else if A[i] < A[j]  
      B[k] ← A[i]; i ← i + 1  
    else  
      B[k] ← A[j]; j ← j + 1  
  for k ← 1 to n  
    A[k] ← B[k]
```

Proof of correctness: Actually, 2 of them.

Lemma: MERGE results in sorted order.

pf:

induction on sizes of $A[i..m]$ and $A[j..n]$

Runtime: